



Higgs results with direct top and b-Yukawas with CMS

LHCP 2017
SHANGHAI

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on behalf of the CMS collaboration

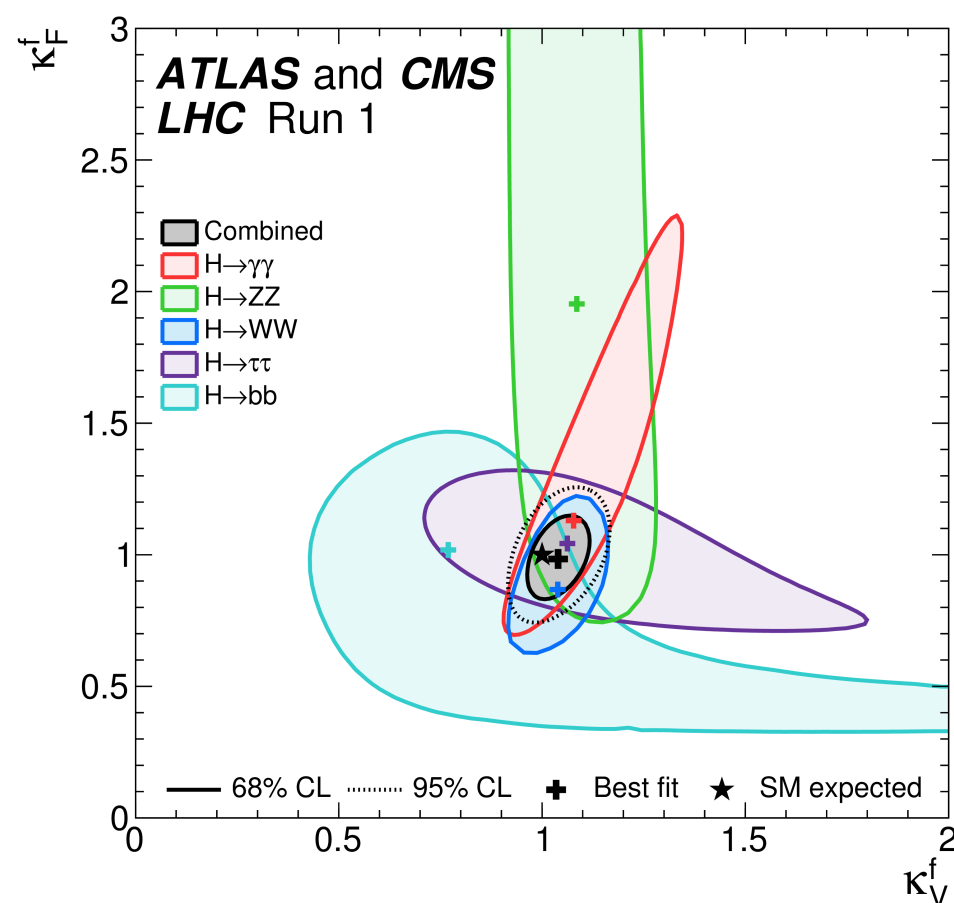
May 12, 2017

Overview

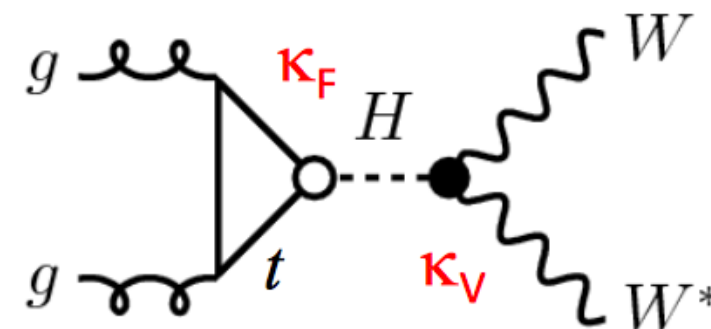
- A search for the Higgs boson decaying to 2 b quarks is performed at CMS in 3 production channels:
 - Associate production with top quarks ($t\bar{t}H$, tH)
 - Vector boson fusion (VBF)
 - Associate production with a vector boson (VH)
- The search for $t\bar{t}H$ production is performed in 3 broad decay channels:
 - $H \rightarrow b\bar{b}$: Analysis targeting production in the leptonic, dilepton, & hadronic final states (PAS HIG-16-038, presented @ Higgs Coupling 16')
 - $H \rightarrow$ multileptons: Analysis targeting in leptonic (e, μ) final states from $H \rightarrow WW$, $\tau\tau$, ZZ (PAS HIG-17-004, presented @ Moriond 17')
 - $H \rightarrow \gamma\gamma$: Analysis targeting in leptonic & hadronic final states (PAS HIG-16-020, presented @ LHC Day 16')
- $t\bar{t}H$ and tH employ MVA techniques while VBF and VH a regression method is used to reconstruct the invariant mass of the two b jets

Run 1 coupling results

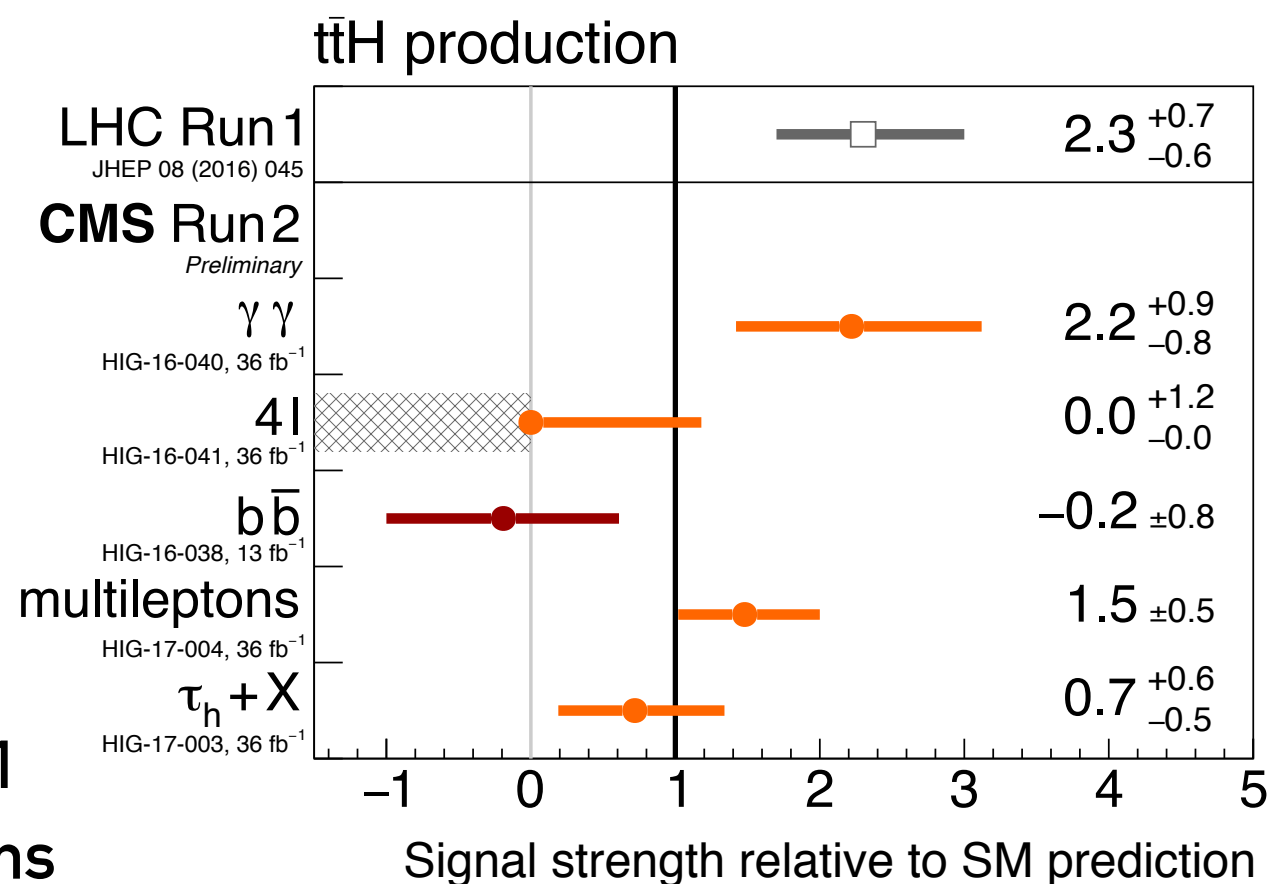
- Couplings to fermions and boson strongly constrained by Run-I measurements
- Scale factors k_i introduced to quantify deviation from SM
- One benchmark uses 2 scale factors k_V for vector boson and k_F for fermions



- All channels compatible with $k_V=1$ and $k_F=1$
- Result is consistent with the SM expectations



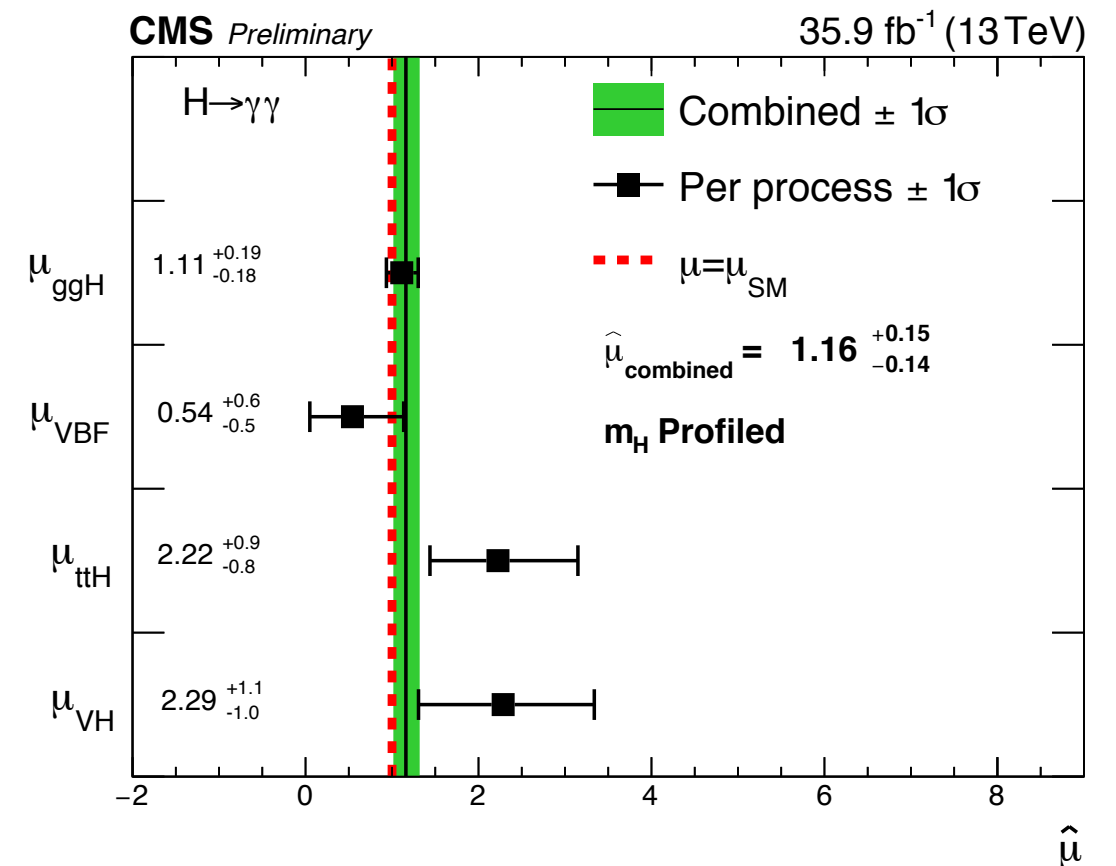
- In combination, search for $H \rightarrow \tau^+\tau^-$ exceeds 5σ
- But, despite being the dominant decay mode, coupling to $b\bar{b}$ not yet observed



The Hunt for $t\bar{t}H$ @ 13 TeV

ttH why so relevant, why now?

- The combined $H(b\bar{b})$ and $H(\tau\tau)$ result establishes strong evidence for coupling of the Higgs boson to down-type 3rd generation fermions
- Indirect and direct results on $t\bar{t}H$ coupling is also evident for a coupling to up-type fermions [arXiv:1401.6527]
- The $t\bar{t}H$ cross section increases by a factor of ~ 4 @ 13 TeV
 - Direct measurement is a key process to determine top Yukawa coupling



- Small cross section that grows substantially from 7 to 8 and to 13 TeV for Higgs @ 125 GeV (NLO QCD+EW):
 - $\sqrt{s}=7$ TeV: $\sigma(ttH) \simeq 89$ fb⁻¹
 - $\sqrt{s}=8$ TeV: $\sigma(ttH) \simeq 133$ fb⁻¹
 - $\sqrt{s}=13$ TeV: $\sigma(ttH) \simeq 507$ fb⁻¹

ttH(b \bar{b})

CMS-PAS-HIG-16-038

- Large $H \rightarrow b\bar{b}$ Branching fraction
- Dominant background: tt+jets
 - Irreducible contribution: tt+bb (theoretically challenging)
- Many jets with similar kinematics and limited mass resolution for $H \rightarrow b\bar{b}$

Analysis strategy

- Obtain good signal separation & constrain background
- Event categories: 11 (5) lepton+jets (dilepton)
- Lepton triggers and offline event selection

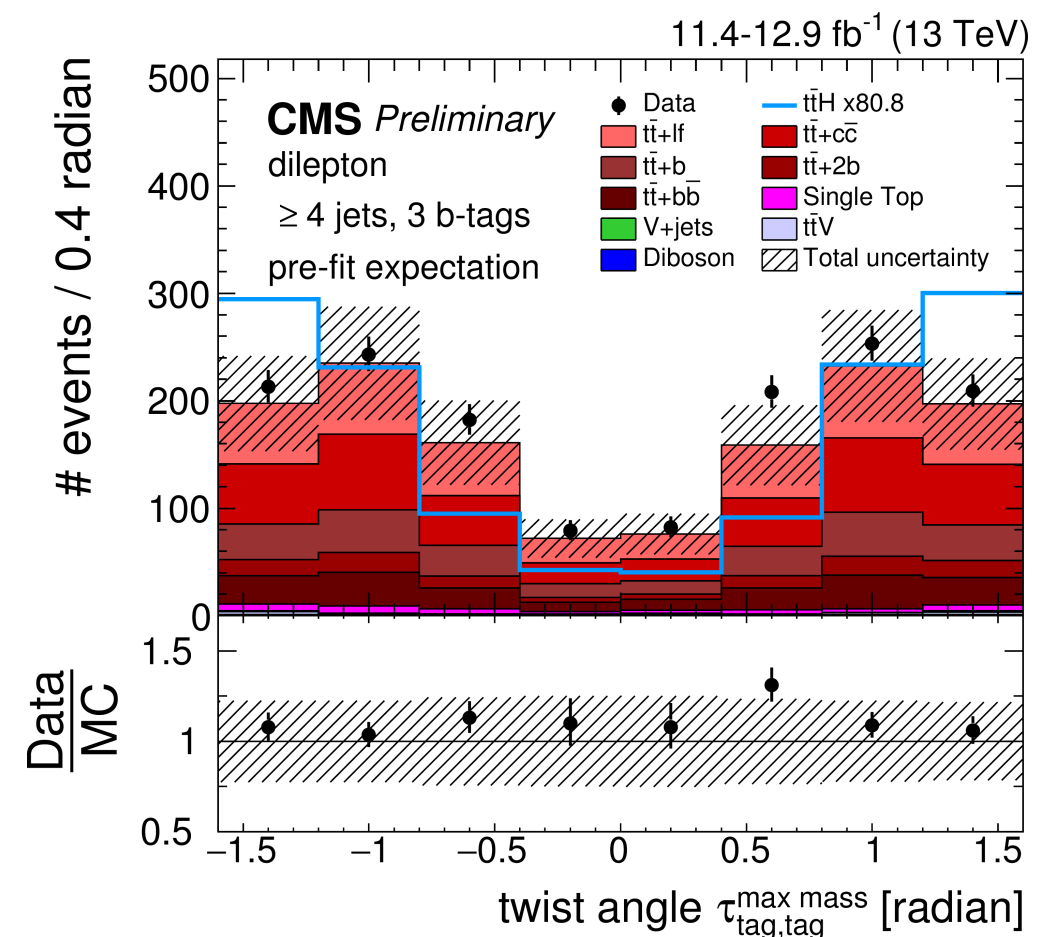
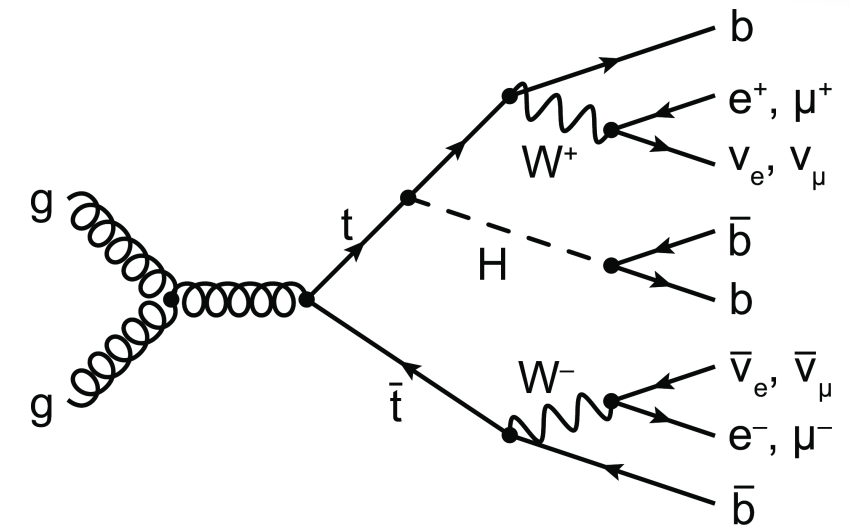
Lepton+jets

- exactly 1 lepton
- At least 4 jets
- At least 2 b-tagged jets

Dileptons

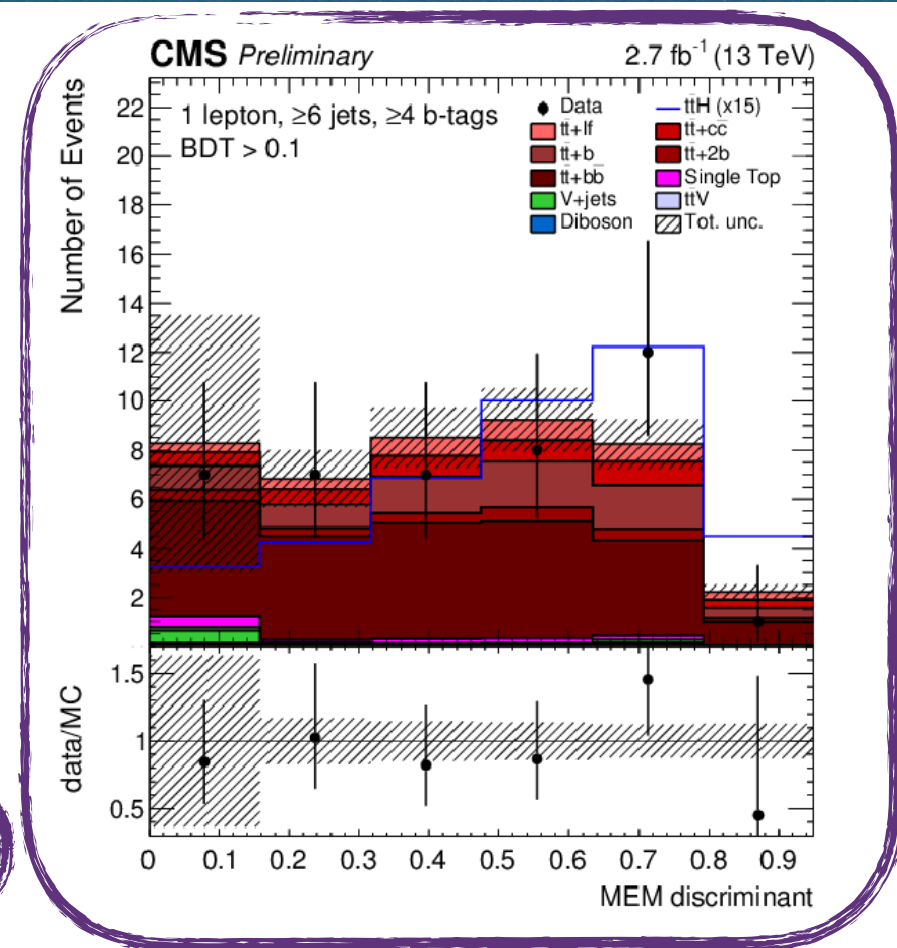
- 2 opposite sign leptons
- At least 3 jets
- At least 2 b-tagged jets

- **Leptons + jets**: high statistics
- **Dilepton**: minimal non- $t\bar{t}$ background, and jet combinatorics
- Classify events based on jet, b-tag multiplicities and boosted jets (leptons+jets)



ttH(b \bar{b}) signal separation

- Each sub-category discriminator is optimized to improve sensitivity
 - **Dilepton:** use Boosted Decision Tree (BDT)
 - **Lepton+jets:** use Matrix Element Method (MEM)
 - $t\bar{t}$ H vs $t\bar{t}$ +bb background hypothesis, permuting over all b-quark association
 - MEM as input to BDT modeling
 - 2Dim MEM+BDT analysis

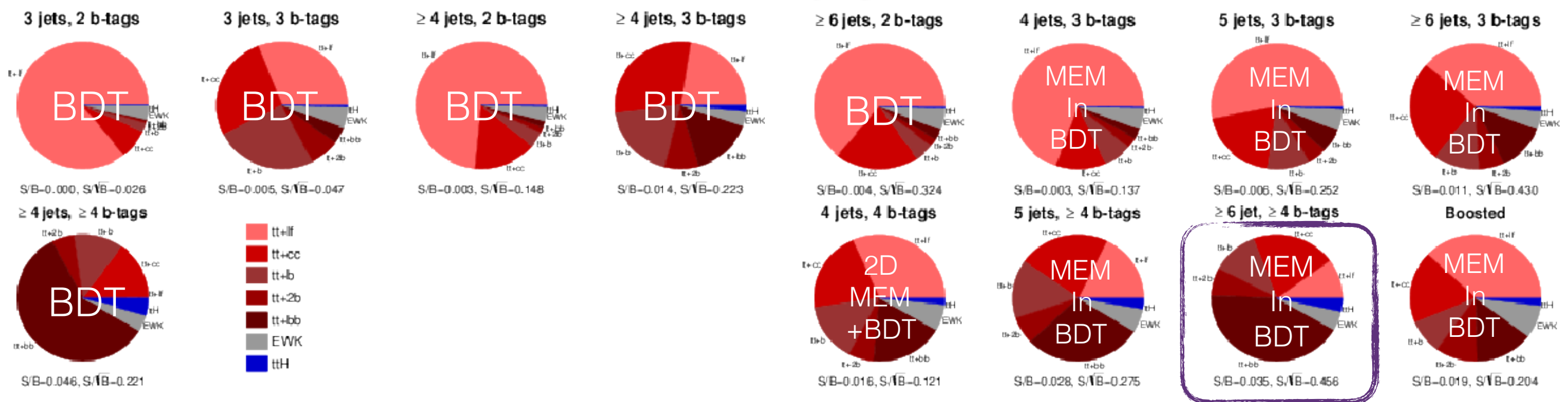


Lepton+jets

Dilepton

CMS Simulation

CMS Simulation

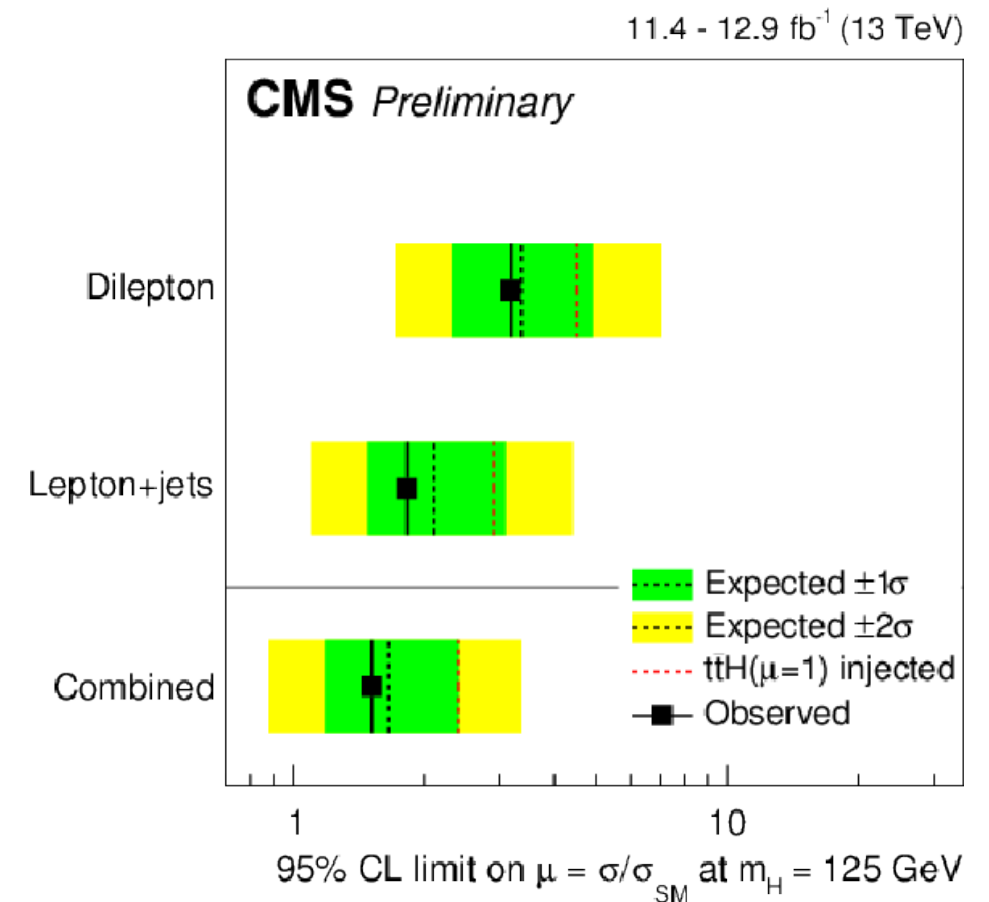
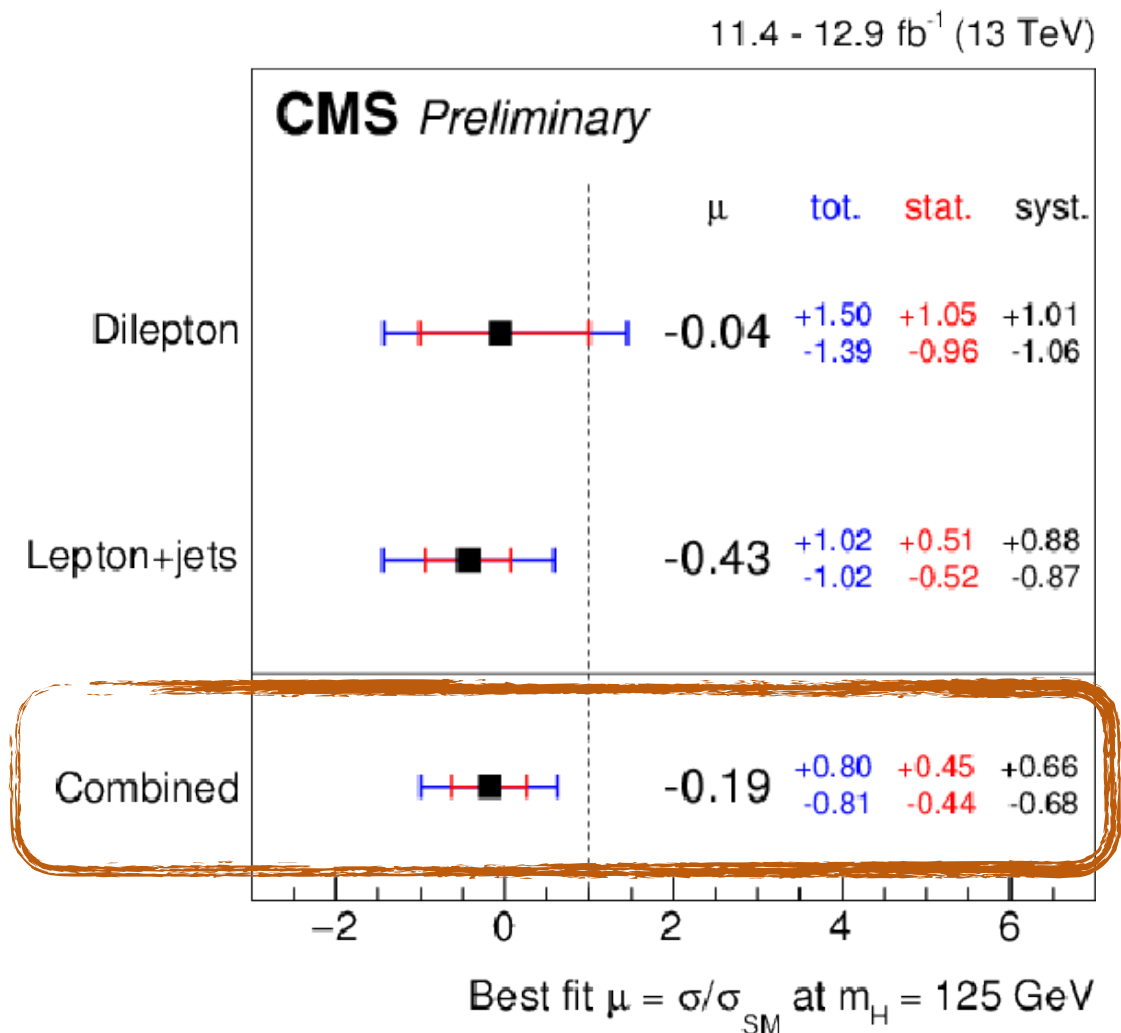


ttH(b \bar{b}) results

Combined fit of discriminant output across all event categories

- Observe no significant excess
- Limited by systematics
 - dominated by those on $t\bar{t} + (b\bar{b})$ jets background

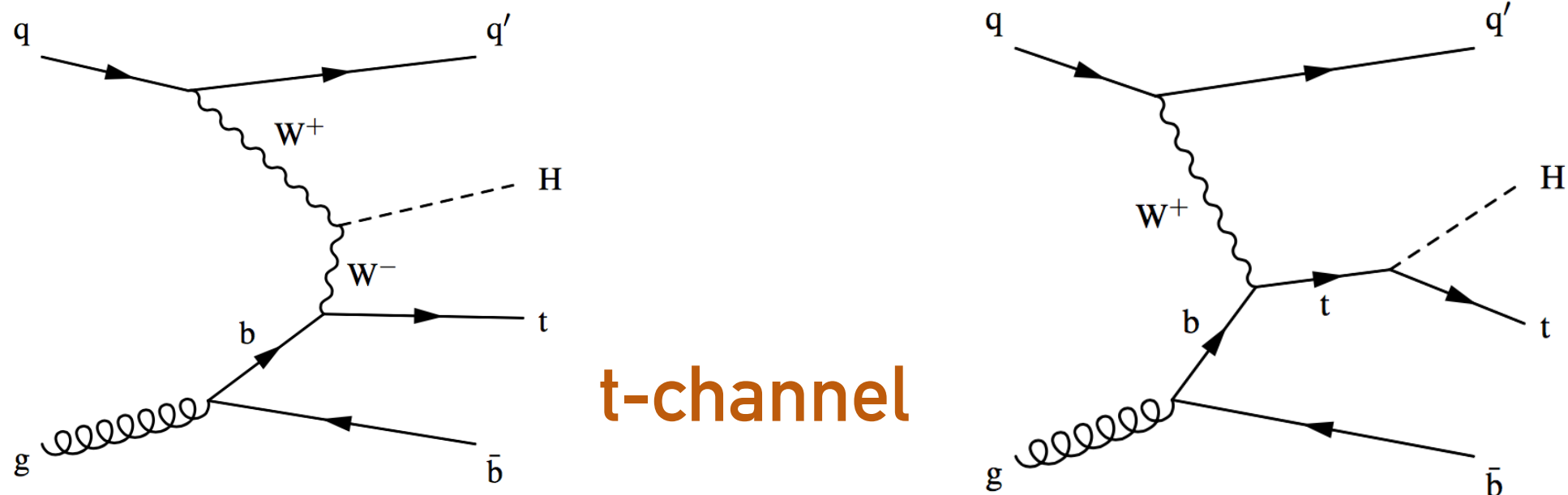
Upper limit at 95% CL



Channel	Observed UL	Expected UL	Best-fit μ
Dilepton	3.2	$3.4^{+1.5}_{-1.0}$	$-0.04^{+1.50}_{-1.39}$ (tot.) $^{+1.05}_{-0.96}$ (stat.) $^{+1.01}_{-1.06}$ (syst.)
Lepton+jets	1.8	$2.1^{+1.0}_{-0.6}$	$-0.43^{+1.02}_{-1.02}$ (tot.) $^{+0.51}_{-0.52}$ (stat.) $^{+0.88}_{-0.87}$ (syst.)
Combined	1.5	$1.7^{+0.7}_{-0.5}$	$-0.19^{+0.80}_{-0.81}$ (tot.) $^{+0.45}_{-0.44}$ (stat.) $^{+0.66}_{-0.68}$ (syst.)

Higgs production with single top

- At LO tH can be separated into 3 production modes:
 - t-channel (tHq) (diagrams interfere destructively in SM)
 - Associated tW production (tHW)
 - s-channel (negligible cross section at the LHC)



- Sensitive to both the magnitude and sign of **top Yukawa** coupling
- In BSM scenarios not necessarily (e.g. inverted top coupling scenario)
 - Effective theory with possibly CP violating top Yukawa couplings, and modified couplings to vector bosons (Eur. Phys. J. C 75 (2015), no. 6, 267)

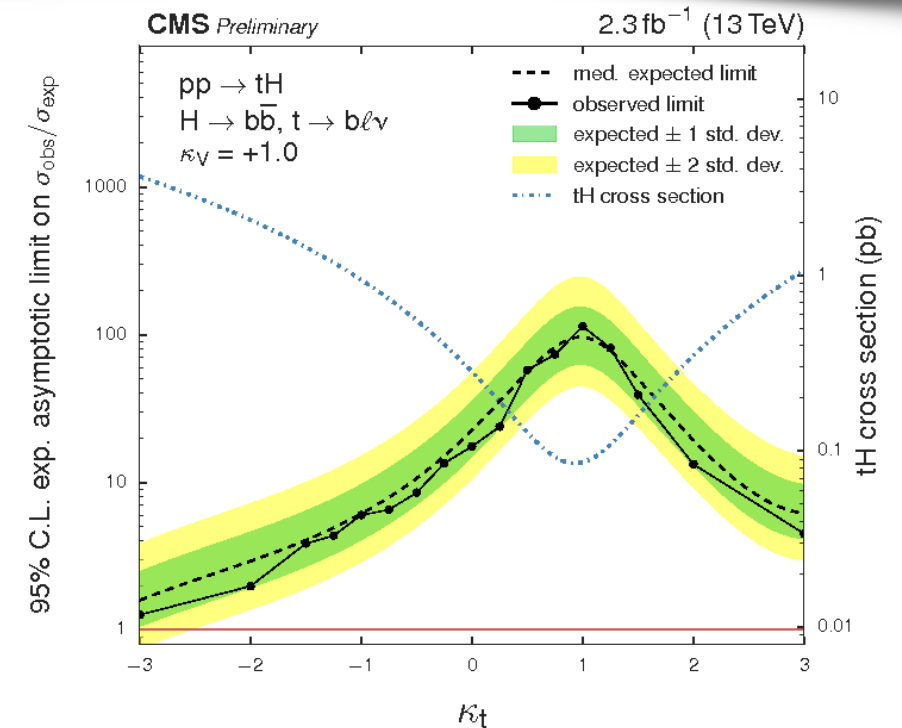
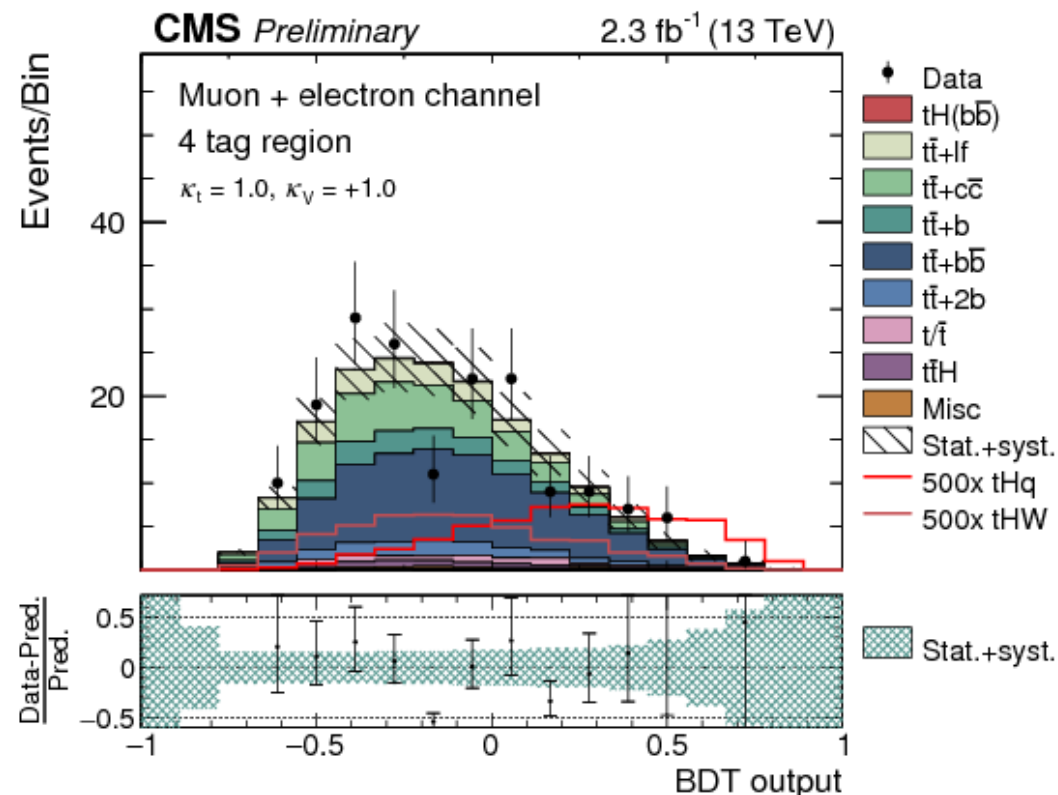
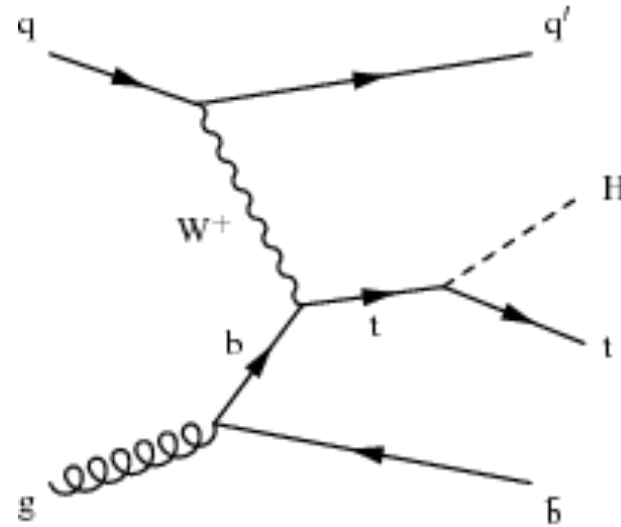
Modified top Yukawa coupling

CMS-PAS-HIG-16-019

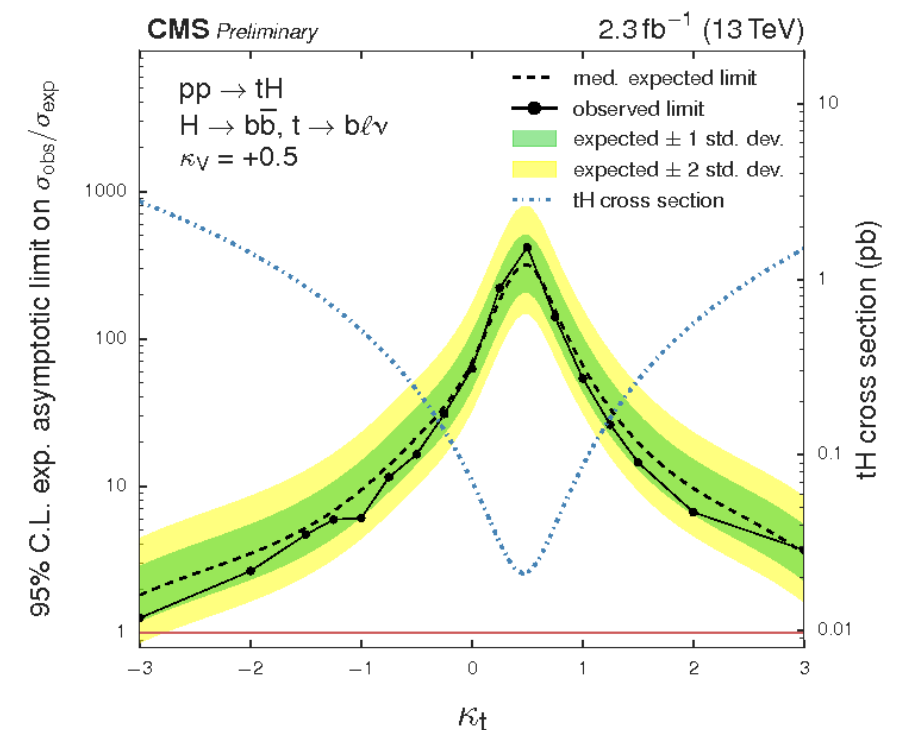
Search for $H \rightarrow b\bar{b}$ in association with a single top ($t \rightarrow b \ell \nu$)

Signal region:

- Final state e/mu+3 or 4 b-tagged jets, 1 non-tagged jet
- BDT to find jet assignment for $t\bar{t}$ and tHq hypothesis
- Final discrimination MVA classifier kinematics + kinematics interpreted in the two hypothesis



SM coupling to W,Z



Reduced coupling to W,Z

Observed (expected) 95% CL upper limit for the SM is $113.7 \times \sigma_{SM}$ ($98.6 \times \sigma_{SM}$) & $6.0 \times \sigma_{ITC}$ ($6.4 \times \sigma_{ITC}$)

tHq+tHW, H→multileptons

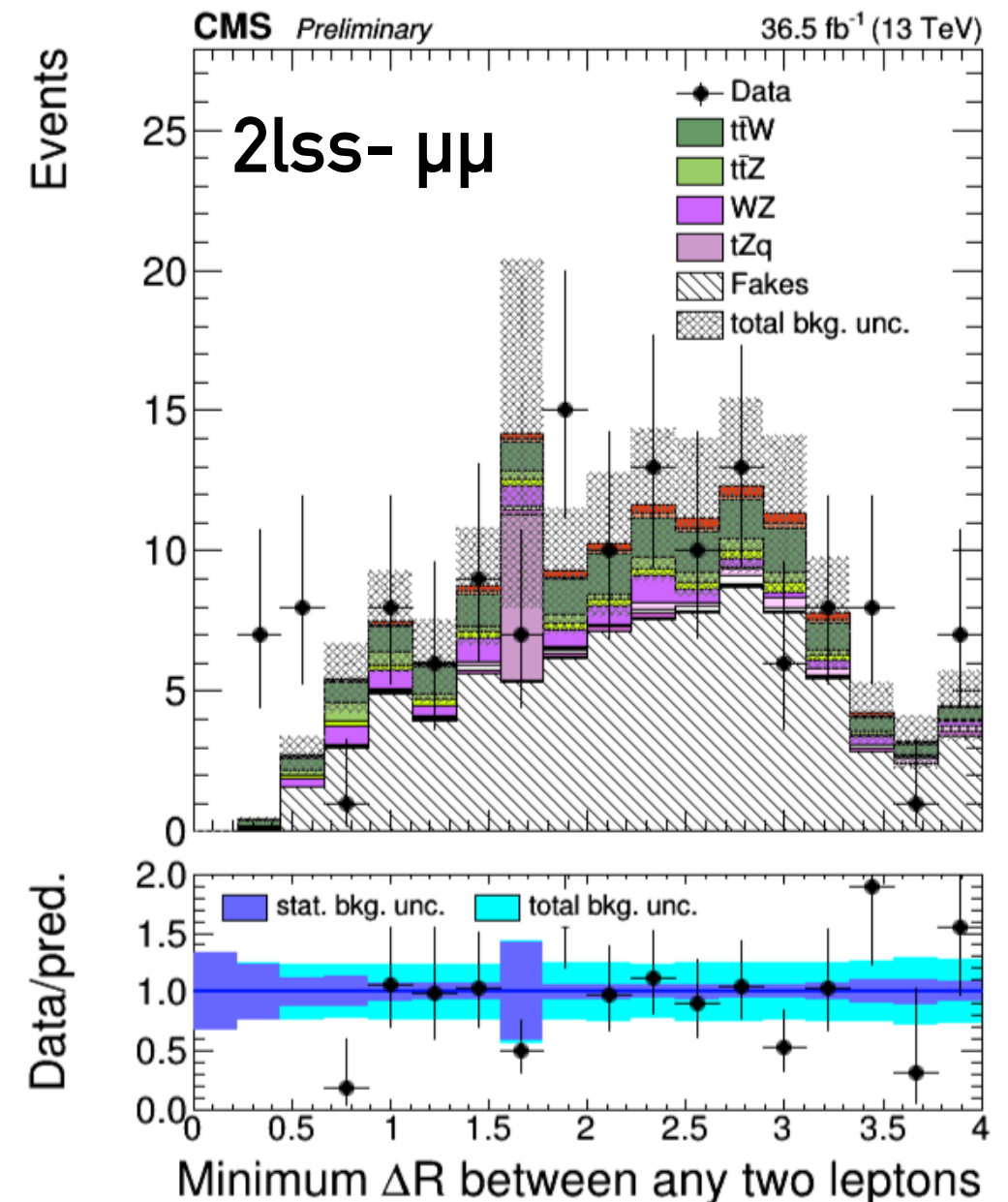
CMS-PAS-HIG-17-005

The process exposes relative sign of top-Higgs and W-Higgs couplings via interference

$$\sigma(\text{tHq}) = 792.7 \text{ fb}$$

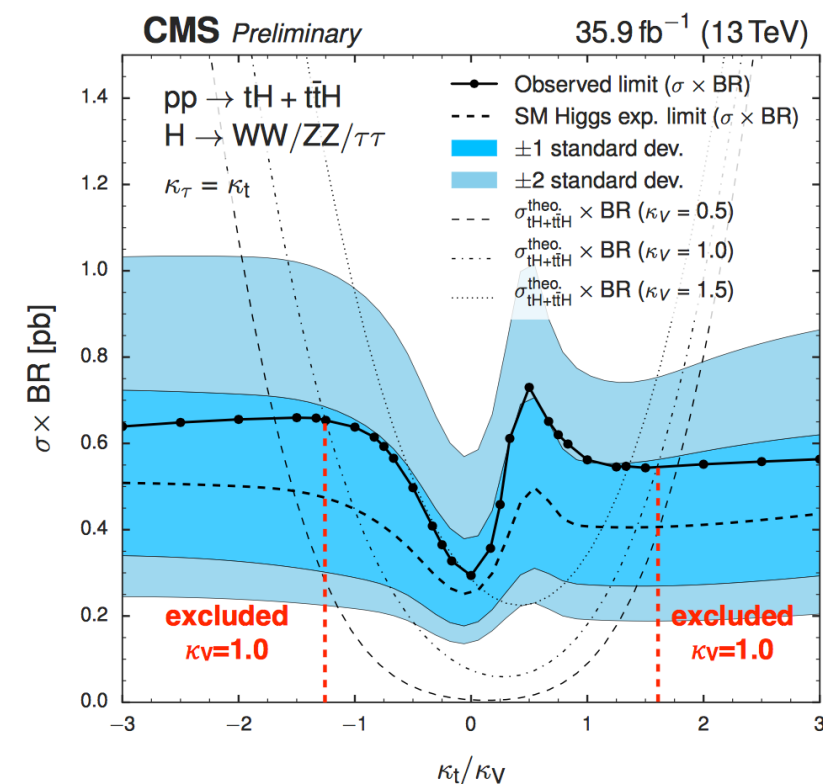
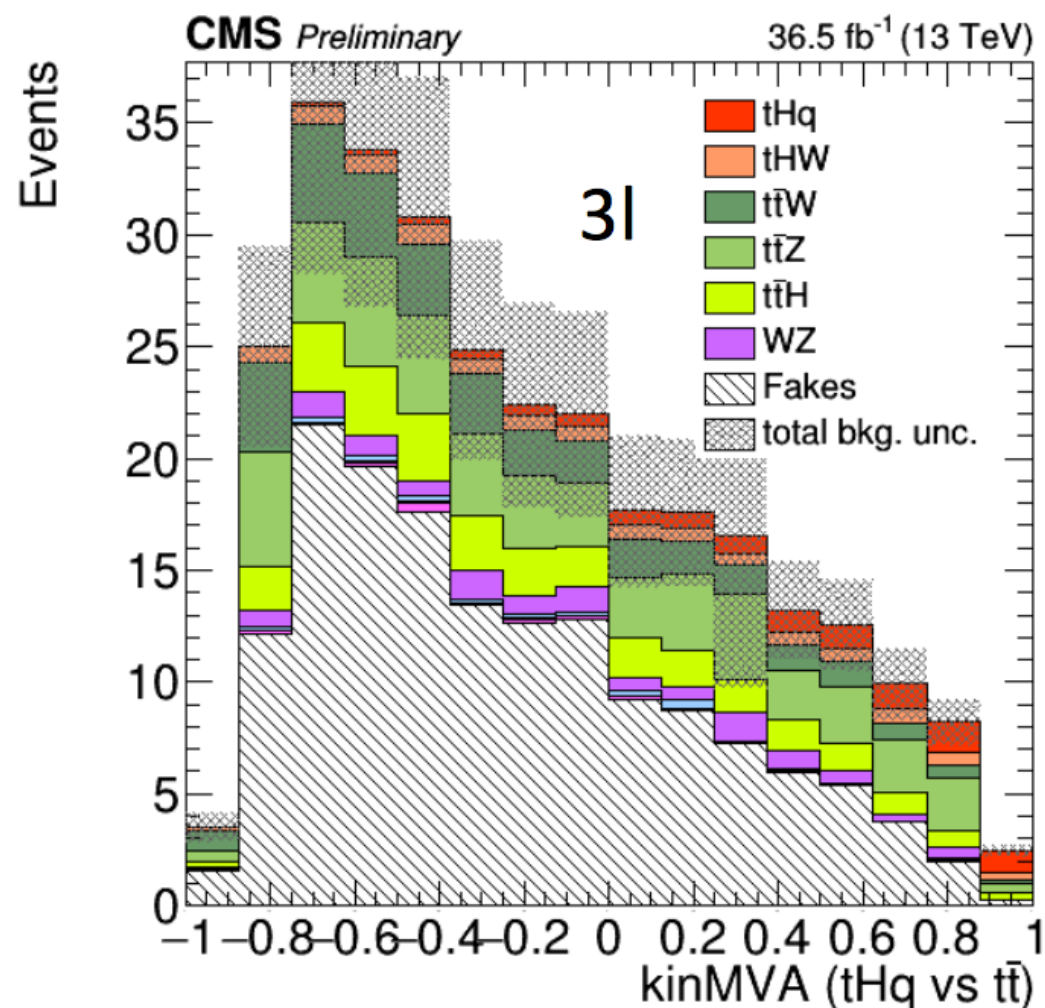
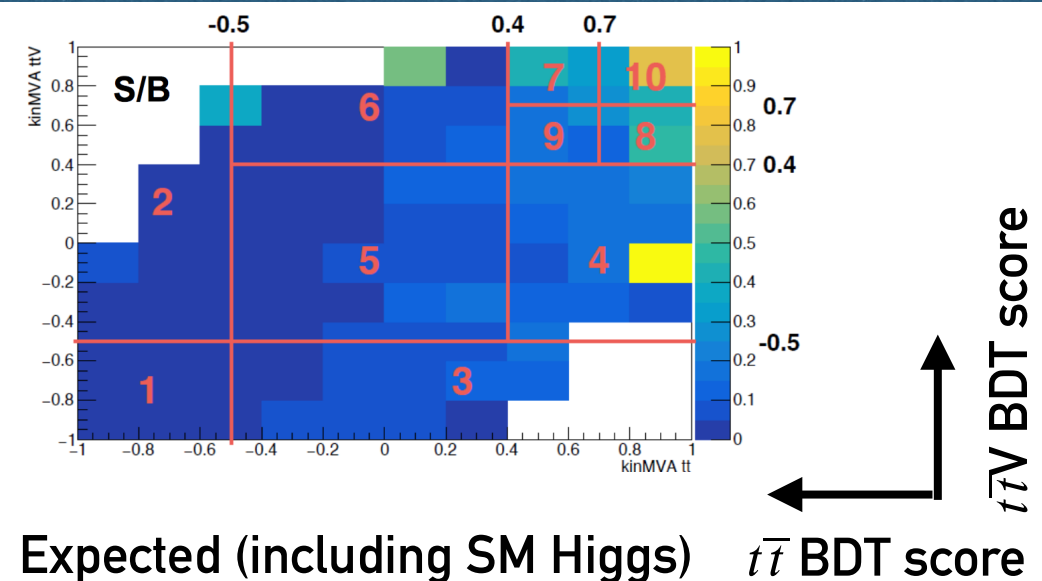
$$\sigma_{\text{SM}}(\text{tHW}) = 15.61 \text{ fb}$$

- **Irreducible bkg (MC):**
 - $t\bar{t} + X$ ($X=W/Z/H/\gamma^*$)
 - Photon conversions
 - Rare SM tZq, tWZ, tri-bosons, WWqq, tttt
 - Di-bosons WZ, ZZ
- **Reducible bkg (data-drive):**
 - Fakes due to non-prompt leptons & miss-ID of jets passing lepton selection
 - Charge flips:
 - Charge mis-ID (2lss)
 - Opposite-sign processes (e.g $t\bar{t}/Z$ +jets)
- **Analysis strategy**
 - Same-sign dilepton (2lss): 1 W from Higgs decays hadronically, others decay leptonically
 - Trilepton (3l): All 3 Ws decay leptonically



tHq+tHW, H→multileptons signal extraction

- MVA discriminator train $t\bar{t}V$ against $t\bar{t}$
 - Divide the plane of BDT $t\bar{t}$ vs BDT $t\bar{t}V$ into bins for signal and background
 - Shape fit the MVA binned output to extract the signal yield combine for final result



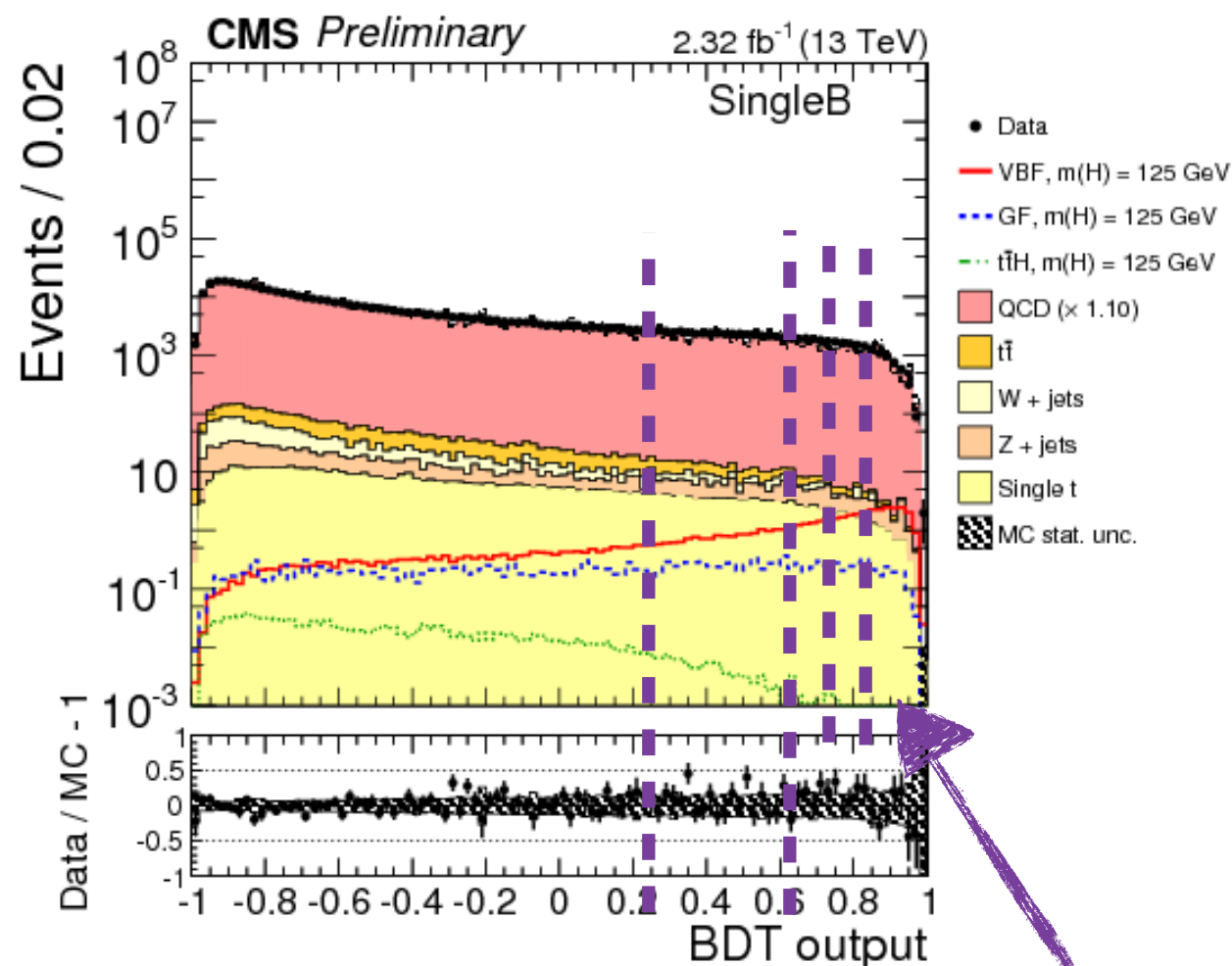
- Excess of about 1 σ of expected
- SM-like tHq+tHW+ $t\bar{t}H$ signal observed
- Best-fit signal strength for SM: $\mu = 1.8 \pm 0.3$ stat. ± 0.6 syst.

VBF, $H \rightarrow b\bar{b}$

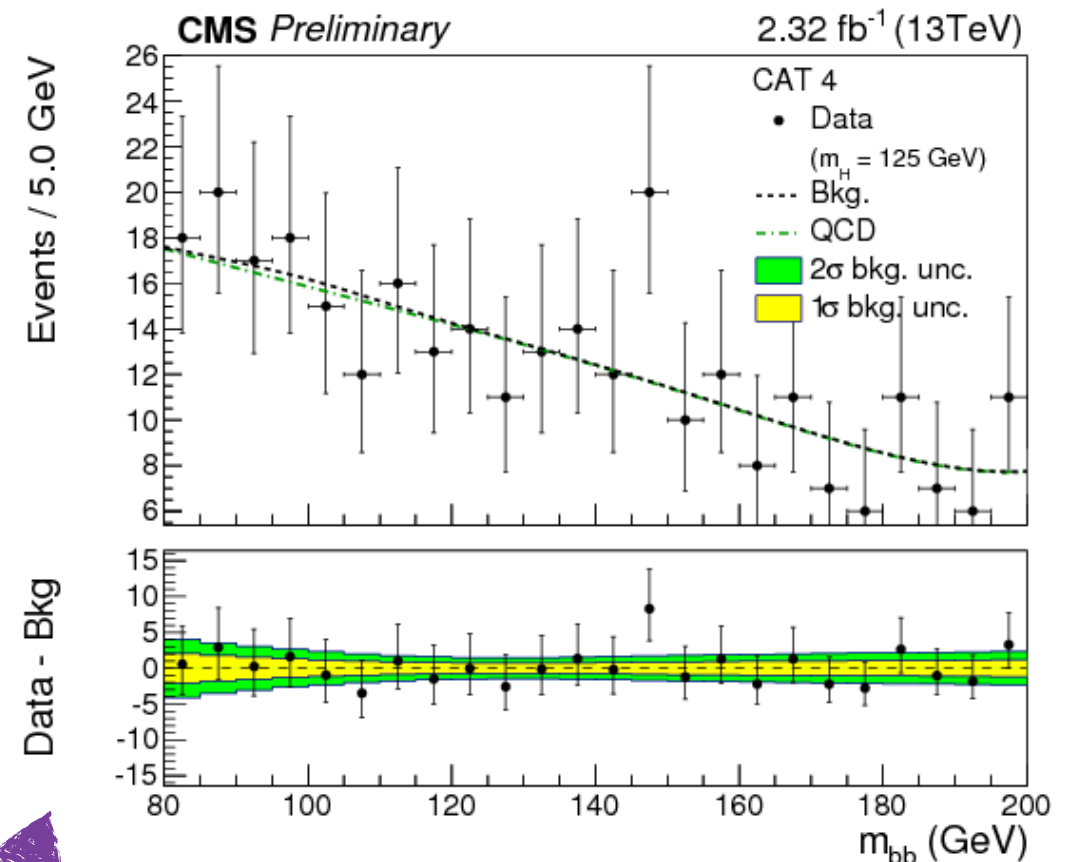
CMS-PAS-HIG-16-003

Properties of the VBF $H \rightarrow b\bar{b}$ channel:

- cross section significantly larger than VH or ttH production
- very large QCD background
- 4-jet signal event topology
- 1 or 2 b tag and BDT categorization



CAT 1 ... CAT 4



- Signal extraction in simultaneous fit to m_{bb} spectrum in all categories
- Result using 2.3 fb⁻¹ @ $\sqrt{s} = 13$ TeV:
 $\mu = -3.7^{+2.4}_{-2.5}$
- Combination with Run I (18 -19 fb⁻¹ @ 8 TeV):
 $\mu = 1.3^{+1.2}_{-1.1}$

m_{bb} resolution

m_{bb} resolution significantly worsened by semi-leptonic b-decays and gluon radiation outside jet “cone”

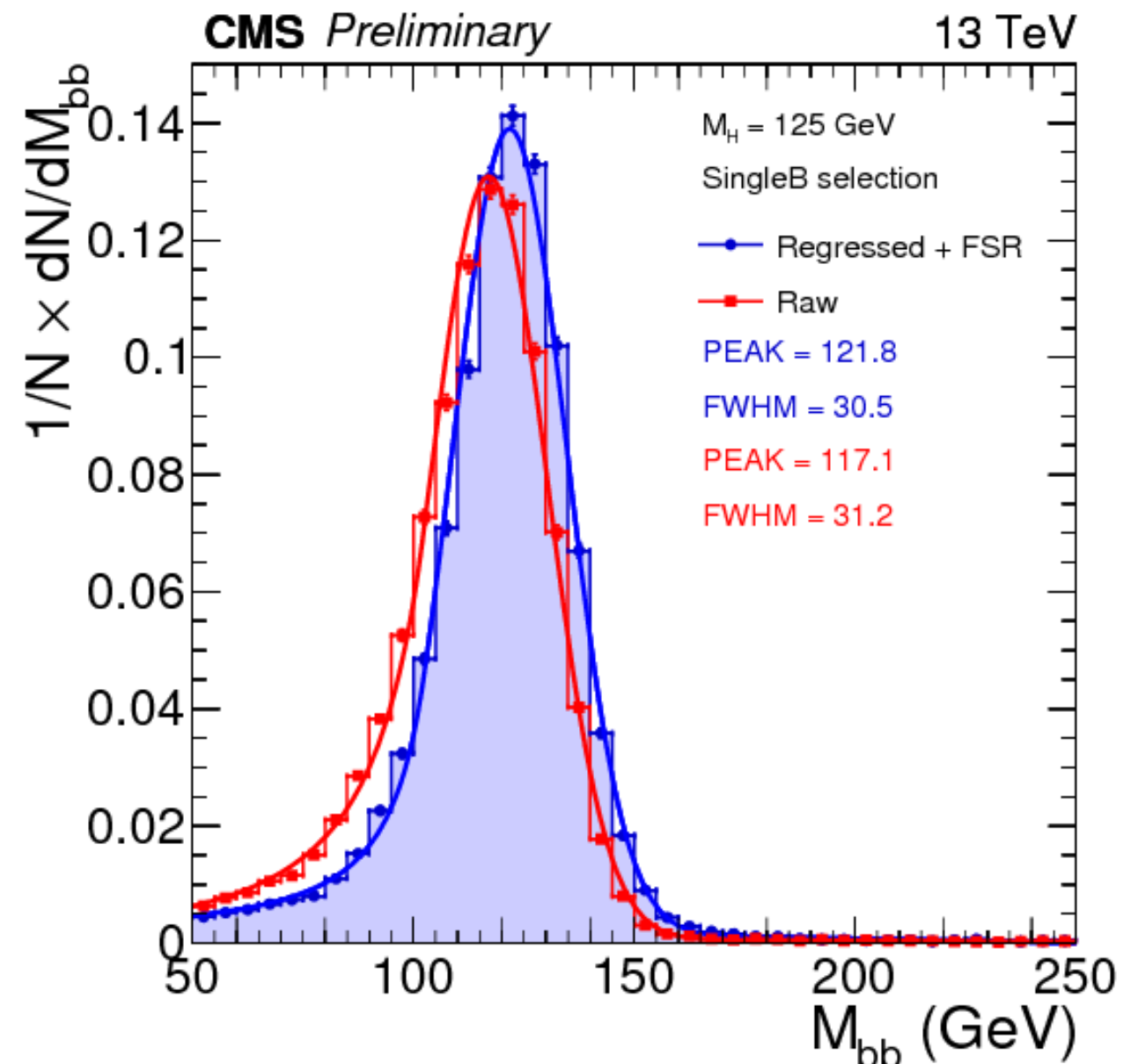
→ improve b-jet energy resolution with regression

Regression inputs

- Jet kinematic
- EM energy fraction
- Information about soft leptons in the jet
- Secondary vertex information
- Pileup

FSR correction:

Add jets within $\Delta R < 0.8$.



Summary and Outlook

- About 39 fb⁻¹ recorded and 2-39 fb⁻¹ analyzed at 13 TeV
- Run-2 sensitivity exceeded Run-1 result
- Presented searches for $H \rightarrow b\bar{b}$ using 13 TeV data performed
- Probes [Top-Higgs Yukawa](#) coupling directly accessible through associated $t(t)H$ production
 - Important for understanding loop contributions
- Studies involve complex final states with leptons, jets, photons etc.
- Multiple analysis channels contribute sensitivity
- No deviation from the SM prediction observed

Outlook

- Not all analyses updated to all available data
 - updates in the very near future
- Excellent prospects for establishing $t\bar{t}H$, VBF signal with complete Run-2 data set
- Continuous improvement of the signal extraction methods & modeling of $t\bar{t} + (b-)\text{jets}$ (indispensable collaboration with theory & MC experts)

References

Publication

- CMS-PAS-HIG-16-038
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-038/index.html>
- CMS-PAS-HIG-16-003
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-003/index.html>
- CMS-PAS-HIG-16-019
 - <http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/HIG-16-019/index.html>
- CMS-PAS-HIG-17-005
 - <http://cms.cern.ch/iCMS/analysisadmin/cadilines?id=1868&ancode=HIG-17-005&tp=an&line=HIG-17-005>

Conference talks

- https://indico.cern.ch/event/505065/contributions/2166376/attachments/1339192/2019944/LHC-Day-Split_2016_ChristianJCC.pdf
- <https://indico.in2p3.fr/event/13763/session/0/contribution/79/material/slides/0.pdf>
- https://indico.cern.ch/event/477407/contributions/2200113/subcontributions/198573/attachments/1369935/2077138/ttH_Hbb_CMS_Kasieczka.pdf

Backup

Analysis targeting $t\bar{t}H$ production

Matrix Element method for ttH(bb) vs ttbb

- Signal extraction via Matrix Element Methods (MEM):
 - Event-by-event discriminator build upon matrix elements, combined with reconstruction-level information

$$\begin{aligned}
 w(\vec{y}|\mathcal{H}) = & \sum_{i=1}^{N_C} \int \frac{dx_a dx_b}{2x_a x_b s} \int \prod_{k=1}^8 \left(\frac{d^3 \vec{p}_k}{(2\pi)^3 2E_k} \right) (2\pi)^4 \delta(E, z) \left(p_a + p_b - \sum_{k=1}^8 p_k \right) \mathcal{R}^{(x,y)} \left(\vec{p}_T, \sum_{k=1}^8 p_k \right) \\
 & \times g(x_a, \mu_F) g(x_b, \mu_F) |\mathcal{M}(p_a, p_b, p_1, \dots, p_8)|^2 W(\vec{y}, \vec{p})
 \end{aligned}$$

Numerical integration
Momentum conservation
Resolution function (allow ISR)

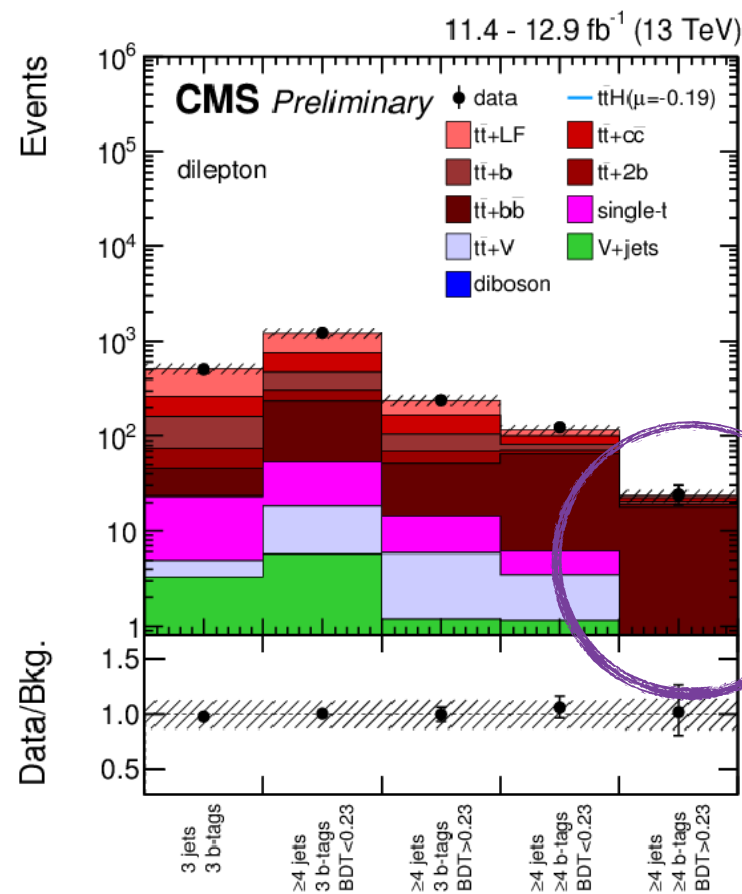
Parton density functions
LO scattering amplitude (Open Loops)
Detector transfer function

- Construct per-event signal/background probabilities using full kinematic information in an analytic approach

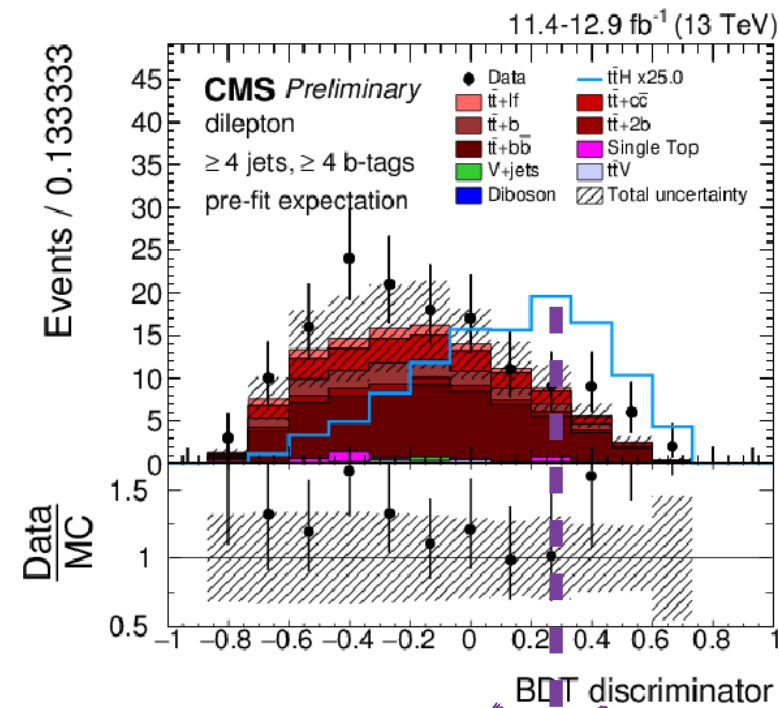
$$P_{s/b} = \frac{w(\vec{y}|t\bar{t}H)}{w(\vec{y}|t\bar{t}H) + k_{s/b} w(\vec{y}|t\bar{t}+b\bar{b})}$$

- tt+bb take as background hypothesis, permuting overall jet assignments
- Works best for final states with multiple reconstructed jets

Combination of BDT & MEM



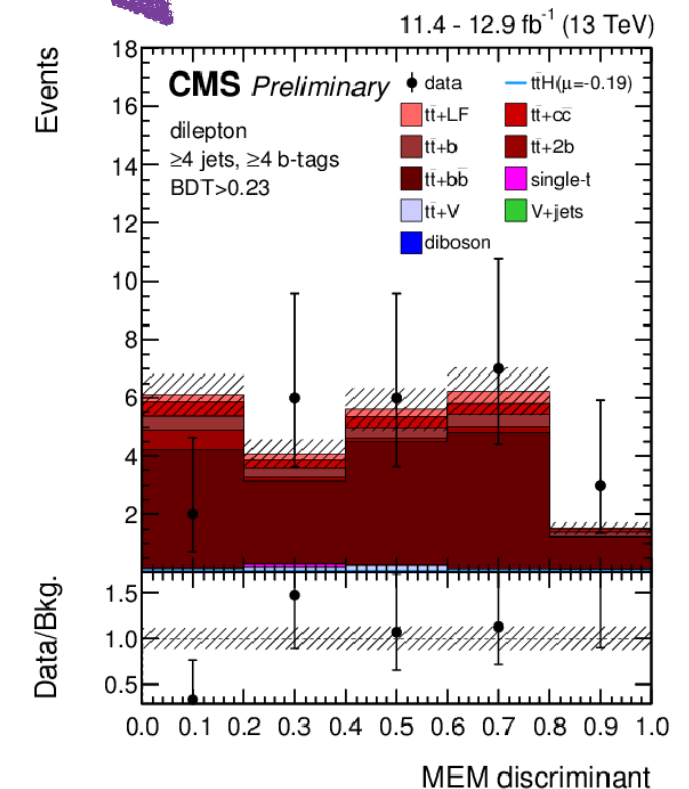
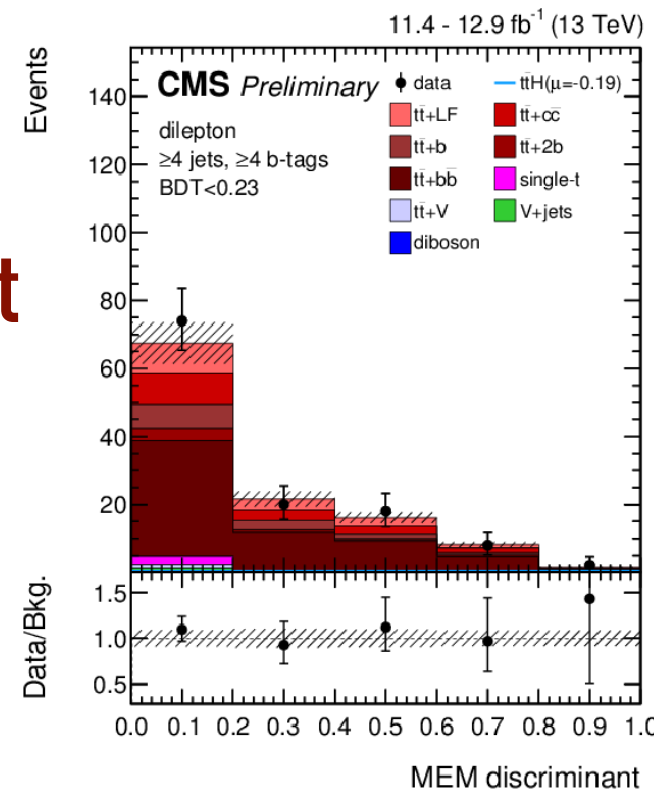
Split category at
the median of $t\bar{t}H$ BDT
output



low purity

high purity

MEM as final discriminant
in the split categories



Particle Swarm Optimization

- See: Particle swarm optimization (J. Kennedy, R. Eberhart)
Proceedings of the IEEE International Conference on Neural Networks, 1995.
- Optimization algorithm
- Different BDT setting (i.e. tree structure and variables) form the search-space
- A specific setting corresponds to one point in this search space
- Algorithm:
 - Create swarm of candidate BDTs
 - Each BDT is initialized with a random set of input variables and position in parameter-space
 - Do N iterations
 - Repeatedly train/test at current position.
 - Vary input variables to maximize ROC while $KS > \text{threshold}$
 - Then the BDTs move to new positions, based on their own and